

Express Mail No. EV348163598US

PATENT
Docket No. 7035092001

15757 U.S. PTO
10/666333
09/17/03

Certificate of Mailing (37 C.F.R. § 1.10):

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" (Express Mail label No.: EV 348163598US) service under 37 C.F.R. § 1.10 on the date indicated above and is addressed to: Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Dated: September 17, 2003

Name of Person Certifying: David W. Maher

Printed Name: David W. Maher

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Guillermo C. Bazan, Bin Liu

Filing Date: September 17, 2003

Examiner: Unassigned

Serial No.: Unassigned

Group Art Unit: Unassigned

Title: CONFORMATIONALLY FLEXIBLE CATIONIC CONJUGATED POLYMERS

Mail Stop Patent Application

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

UTILITY PATENT APPLICATION & FEE TRANSMITTAL

(for nonprovisional applications under 37 CFR § 1.53(b))

Sir:

Transmitted herewith for filing in connection with the above-identified patent application are the following:

I. Elements of the Application	
<input checked="" type="checkbox"/> Application	[1] page(s) of Cover Sheet [54] page(s) of Specification [7] page(s) of Claims [1] page(s) of Abstract [10] sheets of Drawings (Figs. 1-10)
<input type="checkbox"/> Declaration and Power of Attorney [] page(s)	
[] Unexecuted [] Executed [] Copy from prior application (37CFR § 1.63(d))	
<input type="checkbox"/> Deletion of Inventors: [] page(s) of signed statement deleting inventor(s) (37CFR § 1.63(d) & 1.33(b))	
<input type="checkbox"/> Request and Certification Under 35U.S.C. 122(b)(2)(B)(i)	
<input type="checkbox"/> Nucleotide and/or Amino Acid Sequence Submission:	
[] ___ page(s) of Statement Regarding Sequence Listing Under 37 CFR 1.821-1.825	
[] ___ pages of Sequence Listing (paper copy)	
[] disk containing Sequence Listing (computer readable copy)	
The invention was made by an agency of the United States Government or under a contract with an agency of the United States Government.	
<input type="checkbox"/> The name of the U.S. Government agency is _____ and the Government contract number is: _____	

II. Claim for U.S. Priority

- ☐ This application is a ☐ Continuation ☐ Divisional ☐ Continuation-in-Part of Prior Application No. _____ filed on _____. (35 USC §120)
- ☐ This application claims the benefit under 35 U.S.C. §119(e) of United States Application Serial _____ filed _____. (Provisional Application)
- ☐ The entire disclosure of the prior application referenced above, is considered as being part of the application filed herewith and is herein incorporated by reference in its entirety.

III. Claim for Foreign Priority

- ☐ This application claims the benefit under 35 USC §119 of Prior Application No. _____ filed _____.
- ☐ Certified Copy of Priority Document [] page(s)
- ☐ English Translation of Priority Document [] page(s)

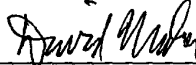
IV. Accompanying Documents

- ☐ Assignment Papers [] page(s) of Recordation Cover Sheet [] page(s) of Assignment
- ☒ Applicant(s) claims Small Entity Under 37 CFR § 1.27 [] Verified Small Entity Statement was previously filed in Prior Application No. _____ on _____.
- ☐ Information Disclosure Statement [] page(s) of PTO-1449 [] copies of IDS References
- ☐ A Preliminary Amendment [] pages
- ☐ A copy of a Petition for Extension of Time (mos.) filed simultaneously in Prior Application No. _____
- ☐ A copy of a Submission of Processing & Retention Fee (37 CFR § 1.78(a)(1) which is being filed simultaneously in Prior Application No. _____
- ☒ Other: - Application Data Sheet – Initial (3 pgs.)
- ☒ Other: - Petition for Filing Color Drawings (MPEP §1.84(a)(2)) (1 pg.)
- ☒ Return Receipt Postcard (MPEP 503) (Should be specifically itemized)

V. Fee Calculation

<input checked="" type="checkbox"/> The following fees are submitted:				CALCULATIONS	
		OTHER THAN SMALL ENTITY	SMALL ENTITY	\$	
BASIC NATIONAL FILING FEE		\$ 750.00	\$ 375.00	\$ 375.00	
EXTRA CLAIMS FEE					
CLAIMS	# FILED	# EXTRA	RATE	RATE	
Total Claims	42 – 20 =	22	× \$18.00	× \$ 9.00	\$ 198.00
Independent claims	5 – 3 =	2	× \$84.00	× \$42.00	\$ 84.00
MULTIPLE DEPENDENT CLAIM(S)					
<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		\$270.00	\$135.00	\$	

Fee for recordation of the enclosed assignment (37 CFR 1.21(h), 3.28, 3.31). _____ (Numbers of Applications) × \$40.00	\$
OTHER FEES <u>Petition for Filing Color Drawings</u> (specify)	\$ 130.00
TOTAL FEES =	\$787.00

a. <input type="checkbox"/> A check in the amount of \$ _____ to cover the above fees is enclosed.	
b. <input checked="" type="checkbox"/> Please charge Deposit Account No.: <u>50-2518</u> , Docket No. <u>7035092001</u> in the amount of \$ <u>787.00</u> to cover the above fees. <i>A duplicate copy of this sheet is enclosed.</i>	
c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>50-2518</u> , Docket No. <u>7035092001</u> . <i>A duplicate copy of this sheet is enclosed</i>	
SEND ALL CORRESPONDENCE TO:	
David W. Maher Bingham McCutchen LLP Three Embarcadero Center, 28th Floor San Francisco, CA 94111 Telephone: (650) 849-4908 Facsimile: (650)849-4800	<div style="text-align: right;"> _____ SIGNATURE David W. Maher NAME September 17, 2003 _____ DATE 40,077 _____ REGISTRATION NUMBER</div>

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Guillermo C. Bazan, Bin Liu
Assignee: The Regents of the University of California
Filing Date: September 17, 2003
Serial No.: Unassigned
Title: CONFORMATIONALLY FLEXIBLE CATIONIC CONJUGATED POLYMERS

Examiner: Unassigned
Group Art Unit: Unassigned

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Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

PETITION FOR FILING COLOR DRAWINGS (MPEP §1.84(a)(2))

Sir:

The applicants respectfully request approval to include a color drawing with the present application. The drawings depict the results of scientific experiments which inherently contain or are most clearly communicated to one of skill in the art through the use of color. Three sets of drawings are included with the Petition as required by 37 C.F.R. § 1.84(2)(ii). Granting of this petition is respectfully requested.

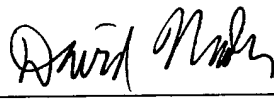
Please charge Deposit Account No. 50-2518, Docket No. 7035092001, in the amount of \$130.00 to cover the petition fee as set forth in 37 C.F.R. §1.17(h), to be entered into the above application. *A duplicate of this sheet is enclosed.*

The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 50-2518, Docket No. 7035092001. *A duplicate of this sheet is enclosed.*

Date: September 17, 2003

Respectfully submitted,

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By: 
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Registration No. 40,077

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